

STATE OF NEW JERSEY  
DEPARTMENT OF BANKING AND INSURANCE

IN THE MATTER OF:

Proceedings by the Commissioner of Banking and Insurance, State of New Jersey, to fine Alliance- One Services, Inc.	) ) )	CONSENT ORDER
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TO: Alliance-One Services, Inc.  
99 Buckshire Place  
Holland, PA 18966

This matter having been opened by the Commissioner of Banking and Insurance, State of New Jersey, ("Commissioner"), upon information that Alliance-One Services, Inc. ("Respondent"), which has applied for licensure as a third party administrator in New Jersey pursuant to N.J.S.A. 17B:27B-1 to -25, may have violated provisions of the insurance laws of the State of New Jersey; and

WHEREAS, pursuant to N.J.S.A. 17B:27B-1, a third party administrator is defined as a person or entity that processes claims and pays claims on behalf of a benefits payer without the assumption of financial risk for the payment of health or dental benefits; and

WHEREAS, pursuant to N.J.S.A. 17B:27B-2, no person shall act as, offer to act as, or hold himself out to be, a third party administrator in this State unless licensed or registered by the Commissioner; and

WHEREAS, Respondent has acted as a third party administrator in New Jersey since 2009 although it was not and is not licensed or registered as a third party administrator; and

WHEREAS, Respondent has submitted an application for licensure as a third party administrator on July 30, 2018 and said application is pending; and

WHEREAS, cause does exist under N.J.S.A. 17B:27B-24 to impose a civil penalty for the aforementioned violation of the insurance laws of this State; and

WHEREAS, Respondent has waived its right to a hearing on the aforementioned violation and consents to imposition of a civil penalty in the amount of one hundred fifteen thousand dollars (\$115,000.00), pursuant to N.J.S.A. 17B:27B-24; and


WHEREAS, this matter should be resolved upon the consent of the parties without resort to a formal hearing on the aforementioned violation;

NOW, THEREFORE, IT IS on this *26* day of *September*, 2018


ORDERED and AGREED that Respondent shall be responsible for the payment of a civil penalty totaling \$115,000.00; and

IT IS FURTHER ORDERED and AGREED that Respondent shall pay the civil penalty of \$115,000 upon its execution of this Consent Order. The payment shall be made through a certified check or money order, made payable to "State of New Jersey - General Treasury" and shall be sent to Tim Stroud, Insurance Examiner II, Office of Solvency Regulation, Department of Banking and Insurance, P. O. Box 325, Trenton, NJ 08625-0325; and

IT IS FURTHER ORDERED and AGREED that this Consent Order represents a final agency decision and constitutes a final resolution of the allegations of this Consent Order.

  
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Marlene Caride  
Commissioner

Consented to as to Form, Content and Entry:



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Alliance-One Services, Inc.

9/17/18

\_\_\_\_\_  
Date