

December 30, 2008

**To:** ALL CONSULTANTS

**Subject:** REQUEST FOR EXPRESSIONS OF INTEREST  
ORDER FOR PROFESSIONAL SERVICES NO. A3203, A3204, A3205, A3206, and A3207  
ON-CALL CONSTRUCTION MATERIAL TESTING AND INSPECTION SERVICES

The New Jersey Turnpike Authority (Authority) invites Expressions of Interest (EOIs) for five Simple projects from all firms prequalified and eligible in the following Profile Code:

Profile Code	Description
D320	Material Testing and Inspection

Attached is a list of all consultants currently prequalified and eligible to submit an EOI for the above referenced assignment. Consultant teams that meet all of the Profile Code requirements are also eligible to submit an EOI.

To qualify as a prequalified consultant, a firm **must** have on file with the Authority a current "Professional Service Prequalification Questionnaire" (PSPQ) package prior to submission of the EOI. A current PSPQ is one that has been on file with the Authority for no more than 24 months. Only those firms who have been prequalified for the type of service this assignment entails will be considered. Prequalification is not required for subconsultants.

The Authority will not be seeking participation of Small Business Enterprises (SBEs) as subconsultants.

This solicitation is for professional services required to provide quality control inspection, sampling and material testing on an on-call basis for New Jersey Turnpike Authority construction contracts.

It is the Authority's intent to engage the services of five firms through this multi-project solicitation for EOIs to perform said on-call testing services. "On-Call" means that the firms will provide professional services as requested by the Authority on a multitude of future projects as the need for testing services arises and only after receiving written authorization from the Authority. Joint ventures will be permitted. The services required are those listed in Section V "On-Call Construction Material Testing and Inspection Services." Each Order for Professional Services (OPS) will have a two-year duration, with an amount not to exceed \$450,000 per year, with an option for the Authority's Executive Director to approve a one year extension provided the fee ceiling of \$900,000 is not exceeded in the prior two years.

### Project Description

The selected firms will be expected to perform the services described herein for Authority construction contracts occurring on the New Jersey Turnpike Roadway from Milepost 0 to Milepost 122 (Interstate I-80/I-95) including the Pearl Harbor Memorial Turnpike Extension, Easterly and Westerly alignments and the Newark Bay-Hudson County Extension and on the Garden State Parkway from Milepost 0 to Milepost 172.4.

The assignment will include the inspection and testing of products used on Authority construction contracts and plant inspections of suppliers for producing materials for these projects. Testing and inspection shall be in accordance with the

New Jersey Turnpike Authority's Standard Specification, Sixth Edition, Dated 2004 and as amended and augmented by the Supplementary Specifications for each construction contract for which services will be provided. One firm will generally be assigned per construction contract, which may occur at any location on the New Jersey Turnpike or Garden State Parkway. Generally, the assignment of services will take into account the testing laboratory's proximity to the majority of construction for each contract. The Chief Engineer, or his designee, will determine which firm will be assigned to each individual contract.

Testing services will generally be assigned approximately 60 days in advance of the needed services, but not less than 15 days. Notification of individual daily testing assignments will be given a minimum twelve (12) hours advance notice. Multiple construction contracts requiring these services will occur simultaneously. The firm shall be capable of staffing multiple concurrently running projects to ensure full coverage at all times.

The Testing Laboratory must have current AASHTO accreditation for all of the following AASHTO testing procedures (allowable ASTM equivalents); T30, T308 (D6307) T209, T269 (D3203), T22 (C39) T119 (C143), T121 (C138), T141 (C172), T152 (C231), to be considered for this assignment. The Testing Laboratory shall submit evidence of current AASHTO accreditation for these testing procedures with their EOI. Evidence of AMRL and CCRL inspection will not be accepted in lieu of AASHTO accreditation.

For all other testing procedures identified in the Section V "On-Call Construction Material and Testing Services" the proposing firm shall have current AASHTO accreditation or shall propose sub-laboratories that possess current AASHTO accreditation where such tests are accredited by AASHTO. The Consultant shall identify each sub-laboratory they will utilize for each testing procedure and submit evidence of the sub-laboratory's current AASHTO accreditation with their EOI.

Prequalified and accredited firms and joint ventures which can provide all the services listed in Section V, by a committed staff of certified inspectors and technicians, are encouraged to submit an EOI.

### **EOI Submission Requirements**

To be considered for these services, qualified firms or joint ventures of prequalified firms need to submit 5 copies of their EOI, which must contain the following:

- 1) **Letter of Interest** not exceeding five (5) single-sided, letter-sized pages with minimum 1-inch borders and minimum font size of 10 pt., stating the firm's interest, ability and its commitment to complete the requested professional services listed in this solicitation and in their EOI.

The Letter of Interest shall summarize the following information:

- a. **Experience of the Firm on Similar Projects**  
Provide a list of the firm's experience on similar projects.
  - b. **Experience of the Project Manager on Similar Projects**  
The project manager should highlight details of the projects in his/her resume. References shall be furnished for each project listed (include at least one contact name and phone number for each project).
  - c. **Key Personnel's Qualifications and Relevant Experience**  
Key personnel should highlight details of qualifications and relevant experience on similar projects, which shall include records of the inspectors, and technician's certifications for the services they are being proposed to perform.
  - d. **Understanding of the Project and the Authority's Needs**  
Provide an explanation of the firm's understanding of the tasks required for the successful completion of the assignment as described herein. Provide a summary of the firm's and its staff's qualifications, and state how they relate to the firm's ability to provide the requested services. Through attached organizational chart and resumes identify the person(s), or subconsultant(s), responsible for each division of the assignment and their relevant experience.
  - e. **Approach to the Project**  
Identify the major tasks comprising the services to be provided. Provide an explanation of the process the firm will use to schedule, manage and perform the required tasks within the scope of services.
  - f. **Commitment and Ability to Perform the Project**  
Provide an affirmation of the firm's commitment and ability to complete the proposed work and outstanding work with the Authority. Through the attached forms, Disclosure Form – Outstanding Work with the Authority and Certification of Team Commitment, demonstrate that the firm has adequate staff resources and management ability to perform the required services. Include a listing of the firm's facilities, including the address of the office where the project will be managed from.
  - g. **Commitment to Quality Management**  
Provide an affirmation of the firm's commitment to quality management. Provide a written narrative that describes the firm's quality assurance policy and how it intends to implement a quality assurance program for the project.
- 2) An **organizational chart** showing key project team members, including subconsultants. Provide all team members' names, titles and reporting relationships.
  - 3) **Resumes for the project manager and each key project team member**, (a maximum total of 5) detailing relevant experience and professional/technical qualifications. Include resumes of proposed subconsultants. Each resume should be one page single-sided.
  - 4) A completed **Affidavit of Eligibility/Disclosure of Material Litigation form** (which is available on the Authority's website) for review by the Authority's legal counsel. Firm shall certify that it is not suspended, disbarred or disqualified from bidding on any state or federal projects. Furthermore, no litigation shall be pending or brought against the firm that could materially affect its ability to perform the OPS described herein. Firm shall submit a description of all litigation pending, threatened or brought against it, including any litigation against its owners and/or principals; and shall also submit a description of any enforcement actions or penalties pending or assessed by any regulatory agency having jurisdiction over permit compliance, worker health and safety, or labor laws, as these issues relate to performance of the OPS described herein.
  - 5) A completed **Disclosure Form – Outstanding Work with the Authority** (which is available on the Authority's website) stating all outstanding work with the Authority. State "none" on the form if firm has no outstanding work with the Authority. Disclosure forms shall be submitted for all subconsultants or member of a joint venture.

- 6) A completed **Certification of Staff Availability form** (which is available on the Authority's website). Firm shall certify that the staff proposed in the EOI shall be used in the performance of the project.
- 7) Evidence of current AASHTO accreditation for the Consultant and each Sub-Consultant and Sub-Laboratory as set forth and described herein.

EOIs that are incomplete will not be considered.

The NJTA has promulgated a Code of Ethical Standards pursuant to the laws of the State of New Jersey, a copy of which is available at: [http://www.state.nj.us/turnpike/code\\_of\\_ethics.doc](http://www.state.nj.us/turnpike/code_of_ethics.doc). By submitting an EOI, your firm will be subject to the intent and purpose of said Code and to the requirements of the Division on Ethical Standards of the State of New Jersey.

EOIs are limited to a total of 11 pages (single-sided), excluding a brief transmittal letter, the Affidavit of Eligibility/Disclosure of Material Litigation form, the Disclosure Form - Outstanding Work with the Authority, Certification of Staff Availability form and evidence of current AASHTO accreditation. Pages in excess of 11 will not be considered. The information shall be presented in an organized fashion and shall be categorized in accordance with the preceding submission requirements. Foldout sheets will not be permitted.

Expressions of Interest must be submitted no later than 2:00 p.m. on January 26, 2009. Consultants will be fully responsible for the delivery of their EOIs. Reliance upon U.S. Mail or other carriers is at the Consultant's risk. Late EOIs will not be considered. EOIs hand delivered or delivered by an overnight delivery service shall be addressed to:

**New Jersey Turnpike Authority**  
581 Main Street  
Woodbridge, NJ 07095  
Attn: Engineering Department, Contracts Section  
Ana Tatoris, P.E., Project Engineer

Expressions of Interest transmitted via U.S. Mail should be addressed to:

New Jersey Turnpike Authority  
P.O. Box 1121  
New Brunswick, NJ 08903  
Attn: Engineering Department, Contracts Section  
Ana Tatoris, P.E., Project Engineer

### **Inquiries**

Inquiries pertaining to this RFEOI are to be directed in writing to the Ana Tatoris, New Jersey Turnpike Authority, P.O. Box 1121, New Brunswick, New Jersey 08903. Inquiries by FAX are also acceptable. The Fax number is (732) 750-5395. **The deadline for inquiries is January 13, 2009.** The Authority will respond to all written inquiries received. Each inquiry will be stated and a written response provided. Responses will be posted on the Authority's Internet website at [www.state.nj.us/turnpike/buss](http://www.state.nj.us/turnpike/buss) under "Professional Services" on or before January 20, 2009. Consultants will be responsible for submitting their EOIs in accordance with the RFEOI and any modifications, revisions and/or clarifications thereto as a result of the posted responses. Late inquiries may not be reviewed or considered.

## Testing Laboratory Selection

A consultant selection will be made from the EOIs that are received on time and are deemed complete. A Review Committee will evaluate the technical qualifications and experience of each firm and its project team, and will rank the firms. The evaluation and ranking of the EOIs will serve as a method by which to create a short list of firms most highly qualified to perform the services required, who will receive requests for Fee Proposals.

The EOIs will be evaluated and ranked on the basis of numerical scores resulting from pre-established weighted factors. For this project, the rating factors and their relative weights are:

RATING FACTORS	WEIGHT %
Experience of the Firm on Similar Projects	15
Experience of Project Manager on Similar Project	15
Key Personnel's Qualifications and Relevant Experience	15
Understanding the Project and the Authority's Needs	20
Approach to the Project	15
Commitment and Ability to Perform the Project	10
Commitment to Quality Management	10
	100%

Following the review of the submitted EOIs, the Authority will request Fee Proposals from at least five (5) firms it deems the most qualified and will commence negotiations with such technically qualified firms in the order ranked. All firms will be notified at the completion of the review process, regarding their status.

All submittals required pursuant to P.L. 2005, c.51 and Executive Order 117 (formerly Executive Order 134) will be requested from the Intended Awardee only. This includes a Certification and Disclosure form completed by each business entity, the Ownership Disclosure form, the Executive Summary of Procurement to be Awarded, the Certification on Behalf of a Company, Partnership or Organization and All Individuals whose Contributions are Attributable to the Entity Pursuant to Executive Order 117 (2008), as well as the Individual Certification of Compliance with Executive Order No. 117 (2008) form.

The required forms will be transmitted to the Intended Awardee by the Authority via mail or fax and returned to the Authority within five business days.

### Order for Professional Services Agreement

Final OPS Documents shall consist of the Authority's Order for Professional Services Agreement (which is available on the Authority's website) and the selected firms' submitted EOI and Fee Proposal.

The OPS will not be entered into by the Authority unless the firm first provides proof of valid business registration in compliance with P.L. 2001, c.134 (N.J.S.A. 52:32-44). Pursuant to this law the firm is further notified that no subcontract shall be entered into by any firm under any OPS with the Authority unless the subconsultant first provides proof of valid business registration.

Very truly yours,

Richard J. Raczynski, P.E.  
Chief Engineer

RJR:AT:ad  
Attachments

cc: J. L. Williams  
J. M. Dougherty

A. Tatoris  
Review Committee

File

**ATTACHMENTS**

to the

**Solicitation for Expressions of Interest**

**Dated December 30, 2008**

for

**Order for Professional Services Nos. A3203, A3204, A3205, A3206 & A3207**

**On-Call Construction Material Testing and Inspection Services**

**TABLE OF CONTENTS**

<u>Section No.</u>	<u>Section Title</u>
I.	Prequalified and Eligible Consultants
II.	Administrative and Agreement Information
III.	OPS Procurement Schedule
IV.	Compensation Basis
V.	On-Call Construction Material Testing and Inspection Services
VI.	Deliverables
VII.	State Contractor Political Contributions Compliance P.L. 2005, Chapter 51 and Executive Order 117 {Formerly Executive Order 134}
	Affidavit of Eligibility/Disclosure of Material Litigation form*
	Disclosure Form – Outstanding Work with the Authority*
	Certification of Staff Availability form*
	Affirmative Action Regulations form* (included for informational purposes only; to be completed by selected firm when the OPS is awarded)

\*All forms are available on the Authority's website at [www.state.nj.us/turnpike/buss](http://www.state.nj.us/turnpike/buss)

## SECTION I Prequalified and Eligible Consultants

Advanced Testing  
AECOM USA, Inc.  
Arora & Associates, P.C.  
ATC Associates, Inc.  
Buchart-Horn, Inc.  
French & Parrello Associates, P.A.  
Greenman-Pedersen, Inc.  
HAKS Engineers, P.C.  
Key-Tech  
KS Engineers, P.C.  
KTA-Tator, Inc.

Lippincott & Jacobs Consulting Engineers  
Paulus, Sololowski & Sartor, LLC  
Pennonni Associates, Inc.  
Tectonic Engineering & Surveying Consultants, P.C.  
The Louis Berger Group, Inc.  
TRC Engineers, Inc.  
Weidlinger Associates, Inc.

## SECTION II ADMINISTRATIVE AND AGREEMENT INFORMATION

### **Professional Corporation**

Incorporated firms that have not filed a copy of a Certificate of Authorization, as required by N.J.S.A. 45: 8-56, with the Authority must include a copy of the Certificate with the EOI.

Professional service corporations established pursuant to the "Professional Service Corporation Act," P.L. 1969, c. 232 (N.J.S.A. 14A: 17-1 et seq.), are exempt from this requirement.

### **Signatures**

Expressions of Interest, Technical Proposals and Fee Proposals must be signed by an officer of the Firm authorized to make a binding commitment.

### **Incurring Costs**

The AUTHORITY shall not be liable for any costs incurred by any consultant in the preparation of their EOI.

### **Addendum to EOI Solicitations**

If, at any time prior to receiving EOIs, it becomes necessary to revise any part of this EOI solicitation, or if additional information is necessary to enable a firm to make an adequate interpretation of the provisions of this EOI solicitation, an addendum to the EOI solicitation will be made available on the Authority's web-site as described herein.

### **Acceptance of EOIs**

The Authority may award an OPS for these services to a firm that the Authority determines best satisfies the needs of the Authority. The solicitation for an EOI or Technical Proposal does not, in any manner or form, commit the Authority to award any OPS. The contents of the EOIs may become a contractual obligation, if, in fact, the EOI or Technical Proposal is accepted and an OPS is entered into with the Authority. Failure of a firm to adhere to and/or honor any or all of the obligations of this EOI - Technical Proposal may result in cancellation of any OPS awarded by the Authority.

### **Rejection of EOIs**

The Authority shall not be obligated at any time to award an OPS to any consultant.

### **Final Contract**

Any OPS entered into with a selected Consultant shall be a contract that shall be satisfactory to the Authority in accordance with the laws of the State of New Jersey. It is understood that any OPS that may be awarded will be on the basis of a professional services agreement within the intent of the statutes and laws of the State of New Jersey, specifically N.J.S.A. 27:23-6.1.

### **Dissemination of Information**

Information included in this document or in any way associated with this project is intended for use only by the Consultant and the Authority, and is to remain the property of the Authority. Under no circumstances shall any of said information be published, copied or used by the Consultant, except in replying to this EOI solicitation.

### **News Releases**

No news releases pertaining to this project to which it relates shall be made without Authority approval and then only in coordination with the issuing office and the Authority's Media Relations Coordinator.

### **Public Records**

Any EOI or Technical Proposal submitted by a firm under this EOI solicitation constitutes a public document that will be made available to the public upon request. Should the Consultant believe that any portion of the EOI is non-disclosable pursuant to law, it may take appropriate action to protect those interests.

**SECTION III**  
**OPS PROCUREMENT SCHEDULE**

OPS Procurement

Deadline for Inquiries.....	January 13, 2009
Posted Response to Inquiries.....	January 20, 2009
Submittal of Expression of Interest.....	January 26, 2009
Recommendation to Award OPS.....	March 31, 2009
Notice to Proceed.....	Mid April, 2009

## SECTION IV COMPENSATION BASIS

Following a review of submitted Expressions of Interest, the Authority will request Fee Proposals from the firms it deems most qualified. The Fee Proposal shall include the submission of "Section V. On-Call Construction Material Testing and Inspection Services" and "Section VI. Deliverables". The Consultant shall provide prices for each item of work identified in Section V, which lists the anticipated testing and inspection services that will be required for this assignment. Both sections must be fully complete in order to be considered responsive to the Authority's request for Fee Proposal.

The prices included in the Consultant's Fee Proposal will be used for all assignments and will be valid for the duration of the agreement with the Authority. The agreement duration shall include the initial two year time frame and also the one year extension, identified elsewhere in this solicitation, should the Authority elect to exercise this option in its sole discretion. No adjustment in prices will be entertained by the Authority if the one year extension is authorized. The prices included in the Fee Proposal shall account for the one year extension and will be valid for the full duration of the agreement.

Compensation for the Consultant's inspection and testing services in the performance of its obligations set forth herein, shall be paid by the Authority in an amount equal to the hours of inspection services performed or the units of tests performed, times the rate for testing and inspection services as listed in the Consultant's Fee Proposal. Invoices are to be presented to the Authority's Resident Engineer on a monthly basis for each construction contract the Consultant is providing services for.

Direct expenses shall be limited to tolls. However, if the Consultant is directed by the Authority to provide services at out-of-state off site locations for which it is economically not feasible to travel on a daily basis, the Consultant shall seek prior approval from the Authority for such travel costs, which shall include modes of transportation other than automobile, travel time, lodging, meals and other miscellaneous expenses approved in advance by the Authority. No expenses or costs shall be billed unless specifically included in the OPS.

**SECTION V**  
**ON-CALL CONSTRUCTION MATERIAL TESTING AND INSPECTION SERVICES**

ITEM NO.	ITEM DESCRIPTION			
	<b>BITUMINOUS CONCRETE</b>			
	<p>Mixture Design - (5 Point Marshall Method)</p> <p>Preparation and testing of Marshall specimens in accordance with the Asphalt Institute Manual MS-2 and ASTM D-1559. The testing results shall take the form of Table 903-3. Lump sum cost per each mixture design.</p>	Each		
	<p>Verification</p> <p>Testing of mixtures obtained from the contractor for verification of the job mix formula. The testing results shall take the form of Table 903-1 or Table 903-2 of the Standard Specification as amended, as required. Lump sum cost per verification.</p>	Each		
	<p>Bituminous Concrete Plant Inspection</p> <p>To be present at the bituminous concrete plant to perform tests and inspection duties as required herein, and as described in the Standard specification as amended. Lump sum cost per hour.</p>	Hr.		

ITEM NO.	ITEM DESCRIPTION			
	<p>Nuclear Density Testing (Includes Technician &amp; Equipment)</p> <p>A certified senior inspector to obtain data for compaction acceptance criteria for asphalt and soil aggregate. Lump sum cost per hour.</p>	Hr.		
	<p>Plant Verification of Contractor's / Suppliers' Quality Control Procedures and Test Data To be present at the bituminous concrete plant to perform the duties as outlined in Subsection 302.03 of the Standard Specification as amended. Lump sum cost per hour.</p>	Hr.		
	<p>Superpave Hotmix Asphalt (HMA) Mixture Design</p> <p>Preparation and testing of gyrating specimens and one loose sample corresponding to the composition of the job mix formula. The testing results shall take into account requirements of Table 924-1 and Table 924-4. This testing shall be performed in accordance with AASHTO 312.</p>	Each		
	<p>Resistance of Compacted Asphalt Mixtures to Moisture-Induced Damage. This test shall be performed in accordance with AASHTO T283. Lump sum cost per each test.</p>	Each		
	<p>Sampling for acceptance testing of Superpave HMA to be performed in accordance with Section 990, B-2 AASHTO T168 and/or ASTM D3665. Lump sum cost per verification.</p>	Each		

ITEM NO.	ITEM DESCRIPTION			
	Asphalt Content of Hot Mix Asphalt (HMA) by the Ignition Method.  This test shall be performed in accordance with Section 990, B-3 or AASHTO T308. Lump sum cost per test.	Each		
	Maximum theoretical specific gravity as needed to monitor production. This test shall be performed in accordance with Section 990, B-9 and ASTM D-2041 (AASHTO T209).	Each		
	Bulk Specific Gravity of Compacted Hot Mix Asphalt Using Saturated Surface-Dry Specimens. This test shall be performed in accordance with AASHTO T166.	Each		
	Sand Equivalent Test to be performed in accordance with AASHTO T176. Lump sum cost per test.	Each		
	Uncompacted Void Content of Fine Aggregate to be performed in accordance with AASHTO T304. Lump sum cost per test.	Each		
	Plastic Limit and Plasticity Index. This test shall be performed in accordance with AASHTO T90. Lump sum cost per each sample.	Each		
	Quantitative Extraction of Bitumen. This test is to be performed in accordance with AASHTO T164-93 (ASTM D2172-88). The results of this test shall take the form of Table 903-1 or Table 903-2 as required. Lump sum cost per test.	Each		
	Mechanical Analysis of Extracted Aggregates. This test shall be performed in accordance with AASHTO T30. The results of this test shall take into account Tables 903-1, 903-2 or Tables under 924.04 as appropriate. Lump sum cost per test.	Each		

ITEM NO.	ITEM DESCRIPTION			
	Recovery of Asphalt from Solution by Abson Method. This test is to be performed in accordance with AASHTO T170-93. Lump sum cost per test.	Each		
	Stripping Bitumen from Aggregate. This test is to be performed in accordance with AASHTO T182-84 (ASTM D 1664-80). Lump sum cost per test.	Each		
	Specific Gravity and Absorption of Fine Aggregate. This test is to be performed in accordance with AASHTO T85. Lump sum cost per test.	Each		
	Percent Air Void in Bituminuous Paving Mixtures. This test shall be performed in accordance with AASHTO T269. Lumpsum cost per test.	Each		
	<p>Analysis of Asphalt Cement</p> <p>This test is to be performed in accordance with AASHTO M226-80, as modified by the Standard Specification. Includes the following lump sum cost per sample.</p> <ul style="list-style-type: none"> <li>a. Viscosity, 140°F (60°C) poises</li> <li>b. Viscosity, 275°F (135°C) Cs</li> <li>c. Penetration 77°F (25°C) 100g, 5 sec.</li> <li>d. Flash point, COC, (°F)</li> <li>e. Solubility in trichlorethylene, %</li> <li>f. Tests on Residue - thin film oven test (TFOT) <ul style="list-style-type: none"> <li>1. Loss of heat, %</li> <li>2. Viscosity ratio after/before TFOT</li> <li>3. Ductility, 60°F (15.5c), 5cm. per min., cm</li> </ul> </li> </ul>	Each		
	Bituminous Concrete Cores	Each		

ITEM NO.	ITEM DESCRIPTION			
	Extraction and delivery of cores for testing as described herein. This work shall include mobilization, delivery and restoration of the cored bituminous pavement with bituminous concrete patch. Lump sum costs per site visit/day.	Each		
	Two (2) inch diameter diamond bit	L.F.		
	Four (4) inch diameter diamond bit	L.F.		
	Six (6) inch diameter diamond bit	L.F.		
	Eight (8) inch diameter diamond bit	L.F.		
	Measurement including all core preparation. (Costs per core sampled)	Each		
	Flow and Stability (4" cores only) ASTM 1559 (AASHTO T245).	Each		
	<b>PORTLAND CEMENT CONCRETE</b>			
	P.C. Concrete Batch Plant Inspection and precast plant inspection.  To be present at the P.C. Concrete/Precast Plant to perform the test and inspection duties as outlined in ASTM E-329, Section 401 of the Standard specification as amended, and Parts 7 and 10 of Section XVII of the NJTA Manual for Construction dated June 1987. Lump sum costs per hour.	Hour		

ITEM NO.	ITEM DESCRIPTION			
	<p>Plant Verification of Contractor's Quality Control Procedures and Test Data</p> <p>To be present at the P.C. Concrete Plant/Precast Plant to verify the contractor's quality control and test data as outlined in Subsection 401.04 of the Standard Specification as amended. Lump sum costs per hour.</p>	Hour		
	Compressive Strength of Molded Concrete Test Cylinders.	Each		
	Curing, capping, testing and reporting of the compressive strength of molded concrete test cylinders delivered to the laboratory by the contractor. The tests shall be performed in accordance with ASTM C39 (AASHTO T22). Lump sum cost per test cylinder.	Each (Weekday)		
		Each (Weekends & Holidays)		
	Field preparation of molded concrete test cylinders. Includes all materials, field storage and transport back to the laboratory. Lump sum cost per cylinder. Preparation shall be in accordance with AASHTO T23, (ASTM C31) as amended by the Standard Specification.	Each (Weekday)		
		Each (Weekends & Holidays)		
	<p>Properties of Cement</p> <p>To be present at the construction site or P.C. Concrete Plant to sample and test the portland cement in accordance with ASTM C150. A report is to be submitted stating the conformance of the portland cement to ASTM C150. Lump sum cost per sample.</p>	Each		

ITEM NO.	ITEM DESCRIPTION			
	<p>Quality of Water</p> <p>To be present at the construction site or P.C. Concrete Plant to sample and test the water to be used in concrete. The water shall be tested in accordance with the requirements of AASHTO T26 Method B. A report is to be submitted stating the quality of water used. Lump sum cost per sample.</p>	Each		
	<p>Sampling, curing and reporting of flexural strength values for test beams made in accordance with AASHTO T23 (ASTM C31) and Subsection 905.22 A(2). Flexural strength of concrete (using Simple Beam with Third Point Loading). This test shall be performed in accordance with AASHTO T97 (ASTM C78) and Subsection 905.22 A(2). Lump sum cost per beam.</p>	Each		
	<p>Sampling of Fresh Concrete. This procedure shall be performed in accordance with AASHTO T141. Air Content-Pressure Method. This test shall be performed in accordance with AASHTO T152.</p>	Each		
	<p>Air Content-Volumetric Method. This test shall be performed in accordance with AASHTO T196. Lump sum cost for each sample.</p>	Each		
	<p>Preparation of concrete samples, curing, sample preparation and reporting of test results for Resistance of Concrete to Rapid Freezing and Thawing. This test shall be performed in accordance with AASHTO T161. Lump sum cost for each sample.</p>	Each		

ITEM NO.	ITEM DESCRIPTION			
	Preparation of concrete samples, curing, sample preparation and reporting of test results for Scaling Resistance of Concrete Surfaces Exposed to Deicing Chemical. This test shall be performed in accordance with ASTM C672.	Each		
	Acid Soluble Chloride Ion Concentration in Mortar and Concrete. This test shall be performed in accordance with preparatory standards ASTM C1152 and ASTM C1218 followed by ASTM C114. Lump sum cost per each sample.	Each		
	Impact Hammer Test of Hardened P.C. Concrete. Lump sum cost per hour.	Hour		
	Testing of samples obtained from cores for determination of cement content, specific gravity, absorption and voids of the hardened concrete. The cement content test is to be performed in accordance with ASTM C85 (AASHTO T178). The test to determine specific gravity, absorption and void is to be performed in accordance with ASTM C642. Lump sum cost per sample	Each		
	Obtaining and Testing of drilled cores and sawed beams for compressive strength in of concrete in accordance with ASTM C42 (AASHTO T24). Lump sum cost per core/beam.	Each		
	Preparation of molded concrete cylinders, curing, sample preparation and reporting of test results for each subplot coulomb test value in accordance with AASHTO T23 (ASTM C31) and Subsection 905.22 A(3). Testing of samples for chloride ion in accordance with AASHTO T259. Lump sum cost per sample.	Each		

ITEM NO.	ITEM DESCRIPTION			
	Preparation of molded concrete cylinders, curing, sample preparation and reporting of test results for each subplot coulomb test value in accordance with AASHTO T23 (ASTM C31) and Subsection 905.22 A(3). Testing of cores or cylinders for the electrical indication of concrete's ability to resist chloride ion penetration in accordance with AASHTO T277/ASTM C1202. Lump sum cost per sample.	Each		
	Core Drilling of Hardened Portland Cement Concrete or Bituminous Concrete Surfaced Portland Cement Concrete. Lump sum cost per core.	Each		
	Drilling and delivery of cores and samples for testing as described herein. This work shall include mobilization, delivery and restoration with a quick setting concrete patch and a bituminous concrete patch as required. Lump sum costs per site visit/day	Each		
	Two (2) inch diameter diamond bit	L.F.		
	Four (4) inch diameter diamond bit	L.F.		
	Six (6) inch diameter diamond bit	L.F.		
	Eight (8) inch diameter diamond bit	L.F.		
	<b><u>AGGREGATES</u></b>			
	Sampling of aggregates for testing. Lump sum costs per hour	Hour		
	Testing of fine and coarse aggregates for the qualities listed herein. Each test is to be performed according to the referenced testing standard. Lump sum cost per test.	Each		

ITEM NO.	ITEM DESCRIPTION			
	Abrasion – Deval Machine AASHTO T3 (ASTM D2)	Each		
	Abrasion - Los Angeles Machine AASHTO T96 (ASTM C131)	Each		
	Unit Weight - AASHTO T19 (ASTM C29)	Each		
	Voids (P.C. Concrete) - AASHTO T19 (ASTM C29)	Each		
	Sieve Analysis - AASHTO T27 - AASHTO T11	Each		
	Specific Gravity and Absorption - AASHTO T85 - AASHTO T84	Each		
	Sieve Analysis of Mineral Filler - AASHTO T37	Each		
	Organic Impurities (P.C. Concrete) - AASHTO T21 (ASTM C40)	Each		
	Combined tests c,d,e,f,h. Lump sum costs per sample.	Each		
	Combined tests c,d,e,f. Lump sum costs per sample.	Each		
	Soundness by Freezing and Thawing. Each test consists of 5 cycles. Lump sum cost per test. AASHTO T103.	Each		
	Lump sum cost per each additional cycle	Each		
	Soundness by use of sodium sulfate or magnesium sulfate. Each test consists of 5 cycles. Lump sum cost per test AASHTO T104.	Each		
	Lump sum cost per each additional cycle	Each		

ITEM NO.	ITEM DESCRIPTION			
	Friable Particles in Aggregates. Lump sum cost per test - AASHTO T112 (ASTM C142)	Each		
	Prestressed Concrete Structures.			
	Prestressed Concrete Plant Inspection.  To be present at the Prestressed Concrete Plant to perform the test and inspection duties outlined in Section 402. Of the Standard Specification as amended. Lump sum costs per hour	Hour		
	Plant Verification of Contractor's/Suppliers' Quality Control Procedures and Test Data  To be present at the Prestressed Concrete Plant to verify the contractor's/suppliers' quality control procedures and test data as outlined in Section 402. of the Standard Specification as amended. Lump sum costs per hour	Hour		
	Testing of Prestressed Wire Strands  Laboratory testing of prestressed wire strands to be used on Authority products. The tests shall be performed in accordance with ASTM A416 (AASHTO M203) and/or ASTM A421 (AASHTO M204). The final report shall include the stress-strain curve for the material. Lump sum cost per test	Each		
	Shop Fabrication Inspection  To be present at the mill and shop facilities to provide quality control inspections and testing outlined in Section 403. of the Standard Specification as amended. Lump sum costs per hour	Hour		

ITEM NO.	ITEM DESCRIPTION			
	<p>Field Weldments</p> <p>Exclusive of the requirements of Item 26, provide field weldment inspection of the types described herein. The inspections shall conform to the applicable ASTM/AASHTO/A.W.S. (D2-0-69) requirements. The costs shall include crew, equipment, exposures and report for each inspection.</p>	Each		
	Mobilization. Lump sum cost per site visit/day	Each		
	Radiographic Inspection (E-99 castings)	Each		
	Ultrasonic Inspection (E-164)	Each		
	Dye Penetration Inspection	Each		
	Magnetic Particle Inspection (E125 castings)	Each		
	X-Ray Inspection	Each		
	<b>PILING (WOOD OR STEEL) AND TIMBER</b>			
	<p>Plant Inspection</p> <p>To be present at the applicable plant to ensure the manufactured product meets the requirements of the Standard Specification as amended. Lump sum costs per hour.</p>	Hour		
	<b><u>PAINT SAMPLING AND TESTING</u></b>			

ITEM NO.	ITEM DESCRIPTION			
	<p>Sampling</p> <p>To be present at the paint manufacturing plant and/or site to sample the paints which are to be used on Authority projects. The sampling shall be in accordance with Section 913 of the Standard Specification as amended. Lump sum costs per hour.</p>	Hour		
	<p>Testing</p> <p>Testing of paint samples by an Authority approved laboratory for compliance with the Standard Specifications as amended. The testing shall consist of batching, weight, viscosity, % solids and pigment verification. The report shall be submitted within two days of receiving the sample and include approval/disapproval for use of the tested batch or component material. Unit cost per sample tested.</p>	Each		
	Weight per Gallon (ASTM D1475-98)	Each		
	Pigment Content (ASTMD2371)	Each		
	Volatile Content of Coatings (ASTMD2369-01)	Each		
	Viscosity in Kreb Unit (KU) using a Stormer-Type Viscometer (ASTM D562-01)	Each		
	Viscosity by Ford Viscosity Cup (ASTM D1200-94)	Each		
	Rheological Properties of Non-Newtonian Materials by Rotational (Brookfield Type) Viscometer (ASTM D2196-99)	Each		
	Sag Resistance (ASTM D4400)	Each		
	Dry Time (ASTM D1640)	Each		

ITEM NO.	ITEM DESCRIPTION			
	Brushing, Spraying and Rolling Properties (Fed Standard 141)	Each		
	Moisture Vapor Transmission (ASTM D1653)	Each		
	Flexibility Testing (ASTM D522)	Each		
	Impact Resistance (ASTM D2794)	Each		
	Pencil Hardness (ASTMD3363)	Each		
	Pavement Striping – White Traffic Paint	Each		
	Pavement Striping – Yellow Traffic Paint	Each		
	Field Sample taken by Laboratory from jobsite.	Each		
	To be present at the shop facility to ensure the material proposed for use on Authority projects meets the requirements of Section 918. of the Standard Specification as amended. The inspection services required shall also include those duties listed in Part 10 of Section XVII of the NJTA Manual for Construction, dated June 1987. Lump sum cost per hour.	Hour		
	<b>SOILS</b>			
	To perform the following tests on samples of soil delivered to the testing laboratory. Lump sum cost per sample	Each		
	Atterberg Limits ASTM D4318	Each		
	Mechanical Analysis dry/wash	Each		
	Hydrometer Analysis	Each		
	California Bearing Ratio w/o Proctor.	Each		

ITEM NO.	ITEM DESCRIPTION			
	Tri-axial Shear (three lateral pressures).	Each		
	Optimum Moisture-Density Curve AASHTO Design T180.	Each		
	Unconfined Compression Strength of Cohesive Soil - ASTM D2166.	Each		
	Grain Size Analysis of Soil - ASTM D2217.	Each		
	Topsoil Analysis	Each		
	Total for <>	Each		
	Particle Size Analysis of Soils. This analysis shall be performed in accordance with AASHTO T88.	Each		
	Moisture-Density of Soils (Standard Effort). This test shall be performed in accordance with AASHTO T99.	Each		
	Specific Gravity of Soils. This test shall be performed in accordance with AASHTO T100.	Each		
	<b>MISCELLANEOUS TESTING</b>			
	Perform testing of the following materials according to the listed reference. With the exception of item <>, samples of each material will be provided to the testing laboratory. Lump sum cost per test/certification.	Each		
	Brick  ASTM C62 (AASHTO M114) Absorption, Compression and Flexural Strength	Each		

ITEM NO.	ITEM DESCRIPTION			
	Block, Concrete  ASTM C90 Hollow Load-Bearing Conc. Masonry Units  ASTM C145 Spec. for Solid Load-Bearing Conc. Masonry Units	Each		
	Mortar  ASTM C270 Mortar for Unit Masonry  ASTM C780 Preconstruction and Construction Evaluation of Mortars for Plain and Reinforced Unit Masonry	Each		
	Reinforcing Steel  ASTM A615 (AASHTO M31) Deformed and Plain Billet-Steel Bars for concrete Relief	Each		
	Certification of Welders American Welding Society (AWS) D1.1 Structural Welding Code	Each		
	Galvanizing  ASTM A123 (AASHTO M111)  ASTM A153 (AASHTO M232)	Each		
	Concrete Penetrating Sealer. Section 923.06 of the Standard Specification as amended, Paragraph (F), Subparagraphs (1) and (7)	Each		
	<b>SPECIALIZED TESTING SERVICES</b>			
	Services required that are not listed herein. May require forensic testing of failed products and materials.			

ITEM NO.	ITEM DESCRIPTION			
	<b>MILEAGE</b>			
	Mileage will be paid for one (1) vehicle only per assignment. The distance shall not exceed the actual round trip mileage between the laboratory and the point of inspection unless previously authorized in writing by the engineer. Lump sum costs per mile.	Mile		
	Per Diem Rate (When approved in advance, in writing, by the Engineer) Board and meals per day.	Day		

## SECTION VI DELIVERABLES

Laboratories are expected to provide the following:

- A. Selected services as described herein, as directed by the New Jersey Turnpike Authority (NJTA) or its designee. The services are to be provided on an "On Call" basis.
- B. Reporting verbally (when required), and on forms supplied or approved by the NJTA, results of the required test and inspection procedures to the NJTA/designee. Written reports including the laboratories recommendations and conclusions with respect to acceptability of said results are to be distributed to the NJTA/designee within 10 days of the tests.
- C. As directed, the Testing Laboratories shall provide immediate results for critical tests, or immediate notification of substandard results by telephone to the Resident Engineer. In the case of substandard results, the Testing Laboratory shall recommend corrective action.
- D. Weekend testing will be required occasionally as scheduled by the Resident Engineer.
- E. Plant inspection reports shall be received by Resident Engineer the day following the plant inspection.
- F. Provide bituminous and Portland Cement concrete plant inspector's report for each truck load of asphalt or Portland Cement concrete shipped to the project. The report should contain the following: client, project, plant, contractor, date and time truck left plant, load temperature, air temperature, truck number, type of mix, tonnage, load number, a remarks section and the inspector's signature.
- G. Provide, verbally and in writing, the nuclear density testing results to the Resident Engineer immediately after the tests are performed.
- H. Provide an asphalt/concrete plant inspection report to the Resident Engineer the following day for material not conforming to contract specifications.
- I. Records of Laboratory accreditation and of inspector and technician certification for the services to be performed. These shall be current for the duration of the OPS. Failure to do so may result in termination of the OPS.
- J. All laboratory testing reports shall include a recommendation to accept or reject the material/product tested and basis for same.
- K. Travel time will only be paid in situations when the inspector arrives on site and cannot begin work due to circumstances beyond their control, if previous notification was not provided. Travel time will consist of the appropriate hourly rate for the inspector, times the actual travel time, or one hour, whichever is more. If the inspector is able to begin working, travel time will not be paid; however, a minimum of one hour will be paid.
- L. For services requested by the hour, the Authority will compensate two (2) hours of the item number unit price if cancellation of services occurs with less than 4 hours notice.

For services requested by each or linear foot, the Authority will compensate two (2) hours of the inspector's hourly rate if cancellation of services occurs with less than 4 hours notice.

If cancellation occurs after the inspector arrives at the site or at the plant, the Authority will compensate the actual number of hours that the inspector is on site prior to cancellation but no less than two (2) hours.

- M. The Authority will request testing services either by e-mail and/or telephone. The Testing company will reply by e-mail and/or telephone (method to be determined by the Authority which could vary per contract) a confirmation of Inspector's name and contact information (which shall include e-mail and cell phone) shall be sent back to the Authority's Resident Engineer.
- N. For site work, the inspector shall report directly to the Authority's Resident Engineer when he/she arrives and leaves. For plant work, the inspector shall telephone the Authority's Resident Engineer when he/she arrives and leaves. This reported time will be the basis for hourly compensation.
- O. The consultant shall submit a task contact sheet to the Authority for distribution at the preconstruction conference with contact information for ordering testing services, laboratory locations and billing issues when assigned a task.

All correspondence, invoices and transmittals for the project shall be referenced by the Authority's Order for Professional Services number and, when assigned, the construction contract number. Invoices should be submitted monthly to the Resident Engineer. The Resident Engineer will review and mark the invoice approved and send back to the testing laboratory. The testing laboratory will only submit monthly invoices that are marked approved by the Resident Engineer.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Corporation/Joint Venture: \_\_\_\_\_

**SECTION VII**  
**State Contractor Political Contributions Compliance**  
**Public Law 2005, Chapter 51 and Executive Order 117**  
**(Formerly Executive Order 134)**

In order to safeguard the integrity of State government procurement by imposing restrictions to insulate the award of State contracts from political contributions that pose the risk of improper influence, purchase of access, or the appearance thereof, Executive Order 134 was signed on September 22, 2004 ("EO 134"). The Order is applicable to all State agencies, the principal departments of the executive branch, any division, board, bureau, office, commission within or created by a principal by a principal executive branch department, and any independent State authority, board, commission, instrumentality or agency. Executive Order 134 was superseded by Public Law 2005, c.51, signed into law on March 22, 2005. In September 2008, Executive Order 117 was signed and became effective for all procurements on or after November 15, 2008. Executive Order 117, applicable to the same entities subject to Executive Order 134, was signed, among other reasons, to ensure that the protections of Public Law 2005, ch. 51 are not diluted. The two Executive Orders, and Public Law 2005, c.51 contain restrictions and reporting requirements that will necessitate a thorough review of the provisions. Pursuant to the requirements of PL 2005, c.51, the terms and conditions set forth in this section are material terms of any OPS resulting from this RFEOI or RFP:

**DEFINITIONS**

For the purpose of this section, the following shall be defined as follows:

a) Contribution – means a contribution reportable as a recipient under "The New Jersey Campaign Contributions and Expenditures Reporting Act." P.L. 1973, c. 83 (C.19:44A-1 et seq.), and implementing regulations set forth at N.J.A.C. 19:25-7 and N.J.A.C. 19:25-10.1 et seq. Through December 31, 2004, contributions in excess of \$400 during a reporting period were deemed "reportable" under these laws. As of January 1, 2005, that threshold was reduced to contributions in excess of \$300.

b) Business Entity – means any natural or legal person; business corporation; professional services corporation (and its officers or shareholders); limited liability company (and its members); general partnership (and its partners); limited partnership (and its partners); in the case of a sole proprietorship: the proprietor; a business trust, association or any other legal commercial entity organized under the laws of New Jersey or any other state or foreign jurisdiction, including its principals, officers, or partners. The definition of a business entity includes (i)all principals who own or control more than 10 percent of the profits or assets of a business entity or 10 percent of the stock in the case of a business entity that is a corporation for profit, as appropriate; (ii)any subsidiaries directly or indirectly controlled by the business entity; (iii)any political organization organized under section 527 of the Internal Revenue Code that is directly or indirectly controlled by the business entity, other than a candidate committee, election fund, or political party committee; and (iv)if a business entity is a natural person, that person's spouse or child, residing in the same household.

## **BREACH OF TERMS OF THE LEGISLATION**

It shall be a breach of the terms of the contract for the Business Entity to (i) make or solicit a contribution in violation of the Legislation, (ii) knowingly conceal or misrepresent a contribution given or received; (iii) make or solicit contributions through intermediaries for the purpose of concealing or misrepresenting the source of the contribution; (iv) make or solicit any contribution on the condition or with the agreement that it will be contributed to a campaign committee or any candidate or holder of the public office of Governor, or to any State or county party committee; (v) engage or employ a lobbyist or consultant with the intent or understanding that such lobbyist or consultant would make or solicit any contribution, which if made or solicited by the business entity itself, would subject that entity to the restrictions of the Legislation; (vi) fund contributions made by third parties, including consultants, attorneys, family members, and employees; (vii) engage in any exchange of contributions to circumvent the intent of the Legislation; or (viii) directly or indirectly through or by any other person or means, do any act which would subject that entity to the restrictions of the Legislation.

## **CERTIFICATION AND DISCLOSURE REQUIREMENTS**

a) The State shall not enter into a contract to procure from any Business Entity services or any material, supplies or equipment, or to acquire, sell or lease any land or building, where the value of the transaction exceeds \$17,500, if that Business Entity has solicited or made any contribution of money, or pledge of contribution, including in-kind contributions to a candidate committee and/or election fund of any candidate for or holder of the public office of Governor, or to any State or county political party committee during certain specified time periods.

b) Prior to awarding any contract or agreement to any Business Entity, the Business Entity proposed as the intended awardee of the contract shall submit the Certification and Disclosure form, certifying that no contributions prohibited by the Legislation have been made by the Business Entity and reporting all contributions the Business Entity made during the preceding four years to any political organization organized under 26 U.S.C.527 of the Internal Revenue Code that also meets the definition of a “continuing political committee” within the mean of N.J.S.A. 19:44A-3(n) and N.J.A.C. 19:25-1.7. The required form and instructions shall be provided to the intended awardee for completion and submission to the New Jersey Turnpike Authority with the Notice of Intent to Award. Upon receipt of a Notice of Intent to Award a Contract, the intended awardee shall submit to the New Jersey Turnpike Authority the Certification and Disclosure(s) within five (5) business days of the New Jersey Turnpike Authority’s request. Failure to submit the required forms will preclude award of a contract under this RFP, as well as future contract opportunities.

c) Further, the Contractor is required, on a continuing basis, to report any contributions it makes during the term of the contract, and any extension(s) thereof, at the time any such contribution is made.

## **STATE TREASURER REVIEW**

The State Treasurer or his designee shall review the Disclosures submitted pursuant to this section, as well as any other pertinent information concerning the contributions or reports thereof by the intended awardee, prior to award, or during the term of the contract, by the contractor. If the State Treasurer determines that any contribution or action by the contractor constitutes a breach of contract that poses a conflict of interest in the awarding of the contract under this solicitation, the State Treasurer shall disqualify the Business Entity from award of such contract.

## **ADDITIONAL DISCLOSURE REQUIREMENT OF P.L. 2005, C. 271**

Contractor is advised of its responsibility to file an annual disclosure statement on political contributions with the New Jersey Election Law Enforcement Commission (ELEC), pursuant to P.L. 2005, c. 271, section 3 if the contractor receives contracts in excess of \$50,000 from a public entity in a calendar year. It is the contractor's responsibility to determine if filing is necessary. Failure to so file can result in the imposition of financial penalties by ELEC. Additional information about this requirement is available from ELEC at 888-313-3532 or at [www.elec.state.nj.us](http://www.elec.state.nj.us).

## **ADDITIONAL DISCLOSURE REQUIREMENT OF P.L. 2005, C. 271 (EXECUTIVE ORDER NO. 117)**

Governor Jon S. Corzine recently signed Executive Order No. 117, which is designed to enhance New Jersey's efforts to protect the integrity of government contractual decisions and increase the public's confidence in government. The Executive Order builds on the provisions of P.L. 2005, c. 51 ("Chapter 51"), which limits contributions to certain political candidates and committees by for-profit business entities that are, or seek to become, State government vendors.

Executive Order No. 117 extends the provisions of Chapter 51 in two ways:

1. The definition of "business entity" is revised and expanded so that contributions by the following individuals also are considered contributions attributable to the business entity:
  - Shareholders and officers of corporations and professional services corporations, with the term "officer" being defined in the same manner as in the regulations of the Election Law Enforcement Commission regarding vendor disclosure requirements (N.J.A.C. 19:25-26.1), with the exception of officers of non-profit entities;
  - Partners of general partnerships, limited partnerships, and limited liability partnerships and members of limited liability companies (LLCs), with the term "partner" being defined in the same manner as in the regulations of the Election Law Enforcement Commission regarding vendor disclosure requirements (N.J.A.C. 19:25-26.1);
  - In the case of a sole proprietorship: the proprietor;

- In the case of any other form or entity organized under the laws of this State or any other state or foreign jurisdiction: the entity and any principal, officer, and partner thereof; and
- Spouses, civil union partners, and resident children of officers, shareholders, partners, LLC members, sole proprietors and persons owning or controlling 10% or more of a corporation's stock are included within the new definition, except for contributions by spouses, civil union partners, or resident children to a candidate for whom the contributor is eligible to vote or to a political party committee within whose jurisdiction the contributor resides.
  - a. Reportable contributions (those over \$300.00 in the aggregate) to legislative leadership committees, municipal political party committees, and candidate committees or election funds for Lieutenant Governor are disqualifying contributions in the same manner as reportable contributions to State and county political party committees and candidate committees or election funds for Governor have been disqualifying contributions under Chapter 51.

*Executive Order No. 117 applies only to contributions made on or after November 15, 2008, and to contracts executed on or after November 15, 2008.*

Beginning November 15, 2008, prospective vendors will be required to submit, *in addition to the currently required Chapter 51 and Chapter 271 forms*, the attached Certification of Compliance with Executive Order No. 117.

**Certification on Behalf of A Company, Partnership or Organization and All Individuals  
whose Contributions are Attributable to the Entity  
Pursuant to Executive Order No. 117 (2008)**

I hereby certify as follows:

On or after November 15, 2008, neither the below-named entity nor any individual whose contributions are attributable to the entity pursuant to Executive Order No. 117 (2008) has solicited or made any reportable contribution of money or pledge of contribution, including in-kind contributions or company or organization contributions, to the following:

- a) Any candidate committee and/or election fund of the Governor;
- b) A State political party committee;
- c) A legislative leadership committee;
- d) A county political party committee; or
- e) A municipal political party committee.

I certify as an officer or authorized representative of the Company or Organization identified below that, to the best of my knowledge and belief, the foregoing statements by me are true. I am aware that if any of the statements are willfully false, I am subject to punishment.

Name of Company, Partnership or Organization:

\_\_\_\_\_

Signed: \_\_\_\_\_ Title: \_\_\_\_\_

Print Name: \_\_\_\_\_ Date: \_\_\_\_\_

- (circle one) (A) The Company, Partnership or Organization is the vendor;  
or  
(B) the Company, Partnership or Organization is a Principal (more than 10% ownership or control) of the vendor, a Subsidiary controlled by the vendor, or a Political Organization (e.g., PAC) controlled by the vendor.

*\*Please note that if the person signing this Certification is not signing on behalf of all individuals whose contributions are attributable to the entity pursuant to Executive Order No. 117 (2008), each of those individuals will be required to submit a separate individual Certification.*

**Individual Certification of Compliance with Executive Order No. 117 (2008)**

I hereby certify as follows:

On or after November 15, 2008, I have not solicited or made any reportable contribution of money or pledge of contribution, including in-kind contributions or company or organization contributions, to the following:

- a) Any candidate committee and/or election fund of the Governor;
- b) A State political party committee;
- c) A legislative leadership committee;
- d) A county political party committee; or
- e) A municipal political party committee.

I certify that, to the best of my knowledge and belief, the foregoing statements by me are true. I am aware that if any of the statements are willfully false, I am subject to punishment.

Signed: \_\_\_\_\_

Print Name: \_\_\_\_\_ Date: \_\_\_\_\_