



**NEW JERSEY BOARD OF PUBLIC UTILITIES**

44 South Clinton Avenue, 3<sup>rd</sup> Floor, Suite 314

P.O. Box 350

Trenton, New Jersey 08625

**ENERGY AGENT and/or PRIVATE AGGREGATOR INITIAL REGISTRATION**

(Also applicable for Energy Consultant)

**Please Type or Print**

1. Legal Name of Registrant: \_\_\_\_\_

D/B/A or Trade Name (if applicable): \_\_\_\_\_

2. Principal Business Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Telephone No. (\_\_\_\_) \_\_\_\_\_

Fax No. (\_\_\_\_) \_\_\_\_\_

E-mail address: \_\_\_\_\_

Internet Address: \_\_\_\_\_

Mailing address,  
if different from  
above: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

3. Type of application being applied for (circle one):

Energy Agent

Private Aggregator

Both

3a. If Energy Agent, is this also an application for the category of Energy Consultant?

\_\_\_\_ Yes

\_\_\_\_ No

4. Type of Ownership (circle one):

Corporation

Partnership

Sole Proprietor

Limited Partnership

Limited Liability Company

5. Key Operating Personnel to be responsible for conduct of applicant's business in New Jersey:

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6. Please provide, names, titles, and telephone numbers of any Directors, Officers, Partners, or Sole Proprietors:

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7. Please provide the name, title and telephone number of the regulatory contact person.

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8. Has the applicant or any key operating personnel, officers, directors, partners, owners ever been under investigation in New Jersey or any other state, or federal jurisdiction, in connection with the sale or delivery of electricity or natural gas?

Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, attach a schedule giving details and provide relevant documents.

9. Has the applicant or any key operating personnel, officers, directors, partners or owners ever been indicted, arrested (other than for motor vehicle violations) or convicted of any offense, crime, misdemeanor or disorderly persons charge in New Jersey or any other state, or by the Federal Government? Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, attach a schedule giving details and provide relevant documents.

10. Has the applicant or any key operating personnel, officers, directors, partners or owners ever had a license, or right to engage in business or profession, revoked, denied, suspended or restrained by any agency in New Jersey or any other state, or by the Federal Government, in connection with the sale or delivery of electricity or natural gas? Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, provide a schedule giving details and provide relevant documents.

11. Has the applicant or any key operating personnel, officers, directors, partners or owners of your organization ever been subject to any disciplinary proceeding in connection with a license or right to engage in any business or profession in New Jersey, any other state, or by the Federal Government in connection with the sale or delivery of electricity or natural gas? Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, provide details and relevant documents.

12. Has the applicant or any key operating personnel, officers, directors, partners or owners ever filed a petition for bankruptcy or reorganization or been affiliated with an entity that has filed a petition for bankruptcy or reorganization in connection with the sale or delivery of electricity or natural gas? Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, give particulars on a separate schedule containing date of bankruptcy or reorganization proceedings, copy of petition in bankruptcy and copy of discharge, if applicable.

13. Has the applicant or any of the operating personnel, officers, directors, partners, or owners ever been investigated or involved as a defendant in any regulatory proceeding? Yes\_\_\_\_\_ No\_\_\_\_\_

If yes, give particulars on a separate schedule containing date of such investigation or litigation, regulatory body or authority initiating such proceeding and resolution.

**For Energy Consultants only:**

14. If already registered with the Board as an Energy Agent, provide registration number \_\_\_\_\_

15. Please provide the name, address and telephone number of the resident agent in New Jersey who is intended to satisfy the BPU's requirement that Energy Consultants must maintain an office within New Jersey.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Financial and Business Information**

- A. Attach financial statements from the latest two fiscal years or covering the life of the business, whichever is shorter.
- B. Provide a written reference from a licensed lending institution which includes: How long the applicant has been a customer, types of accounts maintained, level of account balances maintained, e.g. five figures, six figures, etc.
- C. Provide a company profile including: The length of time the registrant has been in business, the length of time in the electric and/or natural gas business, a summary of business affiliations and a summary of service offerings.
- D. Describe experience of applicant and key operating personnel, officers, directors, partners, or owners of your organization in electric power and/or natural gas business, as applicable, and/or evidence of the ability to aggregate large numbers of customers.
- E. Attach a list of the projected franchise areas in which the applicant expects to be offering services.
- F. Attach a list of licensed electric power or gas suppliers through which the registrant has arranged for the delivery of electric energy, natural gas and/or electric energy or gas services.

## CERTIFICATION UNDER OATH

1. I, \_\_\_\_\_, hereby certify that I am the \_\_\_\_\_ of the  
(Type or print name) (Title)  
\_\_\_\_\_ and have been authorized to file this initial application  
(Name of company)  
and certification on behalf of the Registrant.

2. I hereby certify that I have carefully examined all of the statements contained in this initial application and in the attachments hereto and made a part hereof, that I have knowledge of the matters set forth herein, that all statements made and matters set forth herein are true and correct to the best of my knowledge, information, and belief and that I know of no material omission. I am aware that submitting false or misleading information in connection with this renewal application is grounds for revocation of license and may subject me, the Registrant and other responsible persons on behalf of the Registrant to penalties of perjury, as well as to other civil or criminal penalties.
3. I hereby certify that the Registrant agrees to comply with all standards, rules and regulations applicable to this registration.
4. I also certify that the Registrant shall provide such information as the Board or its staff shall require to assist the Board in making any determination concerning revocation, suspension, issuance or renewal of the registration pursuant to N.J.S.A. 48:3-81 (Section 32 of the Act).

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_  
(day) (month) (location)

Signature: \_\_\_\_\_

\_\_\_\_\_  
(title)

NOTARIZATION:

\_\_\_\_\_

**New Jersey Board of Public Utilities  
Agent/Aggregator/Consultant  
Registration  
44 South Clinton Avenue 3<sup>rd</sup> Floor, Suite 314  
P.O. Box 350  
Trenton, New Jersey 08625**

**RE: NJBPU Internet Website Information Disclosure**

Please provide the following information for inclusion on the Board's website listing of licensed electric power and gas suppliers.

**Name and Office Address of Agent/Aggregator:  
(If Energy Consultant, provide New Jersey office address)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Business Phone Number:** \_\_\_\_\_  
**Toll Free Customer Service Phone #:** \_\_\_\_\_  
**Agent/Aggregator Internet Address:** \_\_\_\_\_  
**E-Mail Address: (For internal Board use only)** \_\_\_\_\_

**NJ Utility Service Territory & Customer Class where your firm will market upon licensure/renewal: (DO NOT INDICATE SERVICE NOT YET OFFERED)**

**Circle each appropriate entry:**

**New Jersey Utility Company Service Territory:**

Electric:        ACE                                JCP&L                                PSE&G                                Rockland Electric

Gas:             Elizabethtown Gas                                New Jersey Natural Gas

                     PSE&G                                South Jersey Gas

**Customer Classes Served:** Residential: \_\_\_\_\_ Commercial: \_\_\_\_\_ Industrial: \_\_\_\_\_  
*If customer class served differs by utility service territory, provide specific details.*

**REPLY TO: NJBPU LICENSING & REGISTRATION UNIT**  
**FAX:** 609-292-2620 or **E-MAIL:** [valencia.hunt@bpu.nj.gov](mailto:valencia.hunt@bpu.nj.gov)  
**PLEASE NOTIFY LICENSING & REGISTRATION UNIT OF ANY CHANGES SUSBEQUENT TO FILING**

