FINAL DECISION

January 29, 2013 Government Records Council Meeting

Jesse Wolosky Complaint No. 2010-163
Complainant v.

Township of Jefferson (Morris) Custodian of Record

At the January 29, 2013 public meeting, the Government Records Council ("Council") considered the January 22, 2013 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that this complaint be dismissed because the Complainant withdrew this complaint from the Office of Administrative Law via letter from his legal counsel dated January 15, 2013. Therefore, no further adjudication is required.

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk’s Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the
Government Records Council
On The 29th Day of January, 2013

Robin Berg Tabakin, Esq., Chair
Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary
Government Records Council

Decision Distribution Date: February 5, 2013
STATE OF NEW JERSEY
GOVERNMENT RECORDS COUNCIL

Supplemental Findings and Recommendations of the Executive Director
January 29, 2013 Council Meeting

Jesse Wolosky1 Complainant

v.

Township of Jefferson (Morris)2 Custodian of Records

Records Relevant to Complaint: Approved minutes of closed or executive sessions held by the governing body during January, February, March and April 2010, in electronic format.3

Request Made: June 29, 2010
Response Made: July 7, 2010
Custodian: Lori Harvin4
GRC Complaint Filed: July 22, 20105

Background

September 27, 2011
Government Records Council’s (“Council”) Interim Order. At its September 27, 2011 public meeting, the Council considered the August 23, 2011 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties.6 The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

1. Because the Custodian disclosed the approved executive session minutes to the Complainant without redactions and provided certified confirmation of compliance to the Executive Director within five (5) business days of the issuance of said Interim Order, the Custodian has complied with the Council’s June 28, 2011 Interim Order.

2. Although the Custodian unlawfully denied access to the approved executive session minutes for the January 6, 2010, January 20, 2010 and April 14, 2010

1 Represented by Walter M. Luers, Esq., of the Law Offices of Walter M. Luers, LLC (Clinton, NJ).
3 The Complainant requested additional records which are not relevant to the adjudication of this complaint.
4 The Custodian at the time of the Complainant’s OPRA request, response and Statement of Information thereto was Lydia Magnotti.
5 The GRC received the Denial of Access Complaint on said date.
6 This complaint was prepared and scheduled for adjudication at the Council’s August 30, 2011 meeting; however, said meeting was cancelled due to a lack of a quorum.

Jesse Wolosky v. Township of Jefferson (Morris), 2010-163 – Supplemental Findings and Recommendations of the Executive Director
meetings because said minutes were approved at the time of the Complainant’s OPRA request and thus no longer considered advisory, consultative and deliberative material, the Custodian certified that she provided copies of unredacted executive session minutes in accordance with the Council’s June 28, 2011 Interim Order in a timely manner. Additionally, the evidence of record does not indicate that the Custodian’s violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, it is concluded that the Custodian’s actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

3. Pursuant to Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), the Complainant has achieved “the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian’s conduct.” Id. at 432. Specifically, the Custodian provided the Complainant copies of the requested January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes without redactions pursuant to the Council’s June 28, 2011 Interim Order. Additionally, pursuant to Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008), a factual causal nexus exists between the Complainant’s filing of a Denial of Access Complaint and the relief ultimately achieved. Further, the relief ultimately achieved had a basis in law because the Custodian unlawfully denied access to the requested approved executive session minutes from January through April 2010. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney’s fee pursuant to N.J.S.A. 47:1A-6, Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), and Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008). Thus, this complaint should be referred to the Office of Administrative Law for the determination of reasonable prevailing party attorney’s fees.

October 30, 2011
Council’s Interim Order (“Order”) distributed to the parties.

March 9, 2012
Complaint transmitted to the Office of Administrative Law (“OAL”).

January 15, 2013
Letter from Complainant’s Counsel to the Administrative Law Judge and the GRC. Counsel states that this matter has been resolved and the Complainant withdraws this complaint.

Analysis

No analysis required.
Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that this complaint be dismissed because the Complainant withdrew this complaint from the Office of Administrative Law via letter from his legal counsel dated January 15, 2013. Therefore, no further adjudication is required.

Prepared By: Harlynne A. Lack, Esq.
Case Manager

Approved By: Karyn Gordon, Esq.
Acting Executive Director

January 22, 2013
INTERIM ORDER

September 27, 2011 Government Records Council Meeting

Jesse Wolosky                                          Complaint No. 2010-163

Complainant                                          v.

Township of Jefferson (Morris)                       Custodian of Record

At the September 27, 2011 public meeting, the Government Records Council ("Council") considered the August 23, 2011 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

1. Because the Custodian disclosed the approved executive session minutes to the Complainant without redactions and provided certified confirmation of compliance to the Executive Director within five (5) business days of the issuance of said Interim Order, the Custodian has complied with the Council’s June 28, 2011 Interim Order.

2. Although the Custodian unlawfully denied access to the approved executive session minutes for the January 6, 2010, January 20, 2010 and April 14, 2010 meetings because said minutes were approved at the time of the Complainant’s OPRA request and thus no longer considered advisory, consultative and deliberative material, the Custodian certified that she provided copies of unredacted executive session minutes in accordance with the Council’s June 28, 2011 Interim Order in a timely manner. Additionally, the evidence of record does not indicate that the Custodian’s violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, it is concluded that the Custodian’s actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

3. Pursuant to Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), the Complainant has achieved “the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian’s conduct.” Id. at 432. Specifically, the Custodian provided the Complainant copies of the requested January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes without redactions pursuant to the Council’s June 28, 2011 Interim Order. Additionally, pursuant to Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008), a factual causal nexus exists between the Complainant’s filing of a Denial of Access Complaint and the relief ultimately achieved. Further, the relief ultimately achieved had a basis in law because the Custodian unlawfully denied access to the
requested approved executive session minutes from January through April 2010. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney’s fee pursuant to N.J.S.A. 47:1A-6, Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), and Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008). Thus, this complaint should be referred to the Office of Administrative Law for the determination of reasonable prevailing party attorney’s fees.

Interim Order Rendered by the
Government Records Council
On The 27th Day of September, 2011

Robin Berg Tabakin, Chair
Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Denise Parkinson Vetti, Secretary
Government Records Council

Decision Distribution Date: October 30, 2011
STATE OF NEW JERSEY
GOVERNMENT RECORDS COUNCIL

Supplemental Findings and Recommendations of the Executive Director
September 27, 2011 Council Meeting

Jesse Wolosky\(^1\) Complainant

v.

Township of Jefferson (Morris)\(^2\) Custodian of Records

Records Relevant to Complaint: Approved minutes of closed or executive sessions held by the governing body during January, February, March and April 2010, in electronic format.\(^3\)

Request Made: June 29, 2010
Response Made: July 7, 2010
Custodian: Lori Harvin\(^4\)
GRC Complaint Filed: July 22, 2010\(^5\)

Background

June 28, 2011

Government Records Council’s (“Council”) Interim Order. At its June 28, 2010 public meeting, the Council considered the June 21, 2010 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

1. Because the governing body had approved the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes at the time of the Complainant’s OPRA request, said minutes no longer constituted advisory, consultative or deliberative (ACD) material at the time of the Complainant’s request and were therefore disclosable with appropriate redactions for discussions exempt from disclosure under the Open Public Meetings Act pursuant to N.J.S.A. 47:1A-9.a. and Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009). Accordingly, the Custodian has failed to bear her burden of proving a lawful denial of access to the records responsive to the Complainant’s OPRA request pursuant

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1 Represented by Jonathan E. McMeen Esq., of the Law Office of Jonathan E. McMeen (Sparta, NJ).
2 Represented by Michael Garofalo, Esq., of Laddey, Clark & Ryan, LLC (Sparta, NJ).
3 The Complainant requested additional records which are not relevant to the adjudication of this complaint.
4 The Custodian at the time of the Complainant’s OPRA request, response and Statement of Information thereto was Lydia Magnotti.
5 The GRC received the Denial of Access Complaint on said date.
to N.J.S.A. 47:1A-6. The Custodian must therefore release the requested records to the Complainant.

2. The Custodian shall comply with item No. 1 above within five (5) business days from receipt of the Council’s Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously provide certified confirmation of compliance, in accordance with N.J. Court Rule 1:4-4, to the Executive Director.  

3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian’s compliance with the Council’s Interim Order.

4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian’s compliance with the Council’s Interim Order.

June 29, 2011
Council’s Interim Order distributed to the parties.

July 1, 2011
Custodian’s response to the Council’s Interim Order. The Custodian certifies that the unredacted executive session minutes of January 6, 2010, January 20, 2010 and April 14, 2010 were provided to the Complainant on June 30, 2011 via certified mail and regular mail in compliance with the GRC’s Interim Order of June 28, 2011.

Analysis
Whether the Custodian complied with the Council’s June 28, 2011 Interim Order?

The Council’s June 28, 2011 Interim Order required the Custodian to disclose the approved executive session minutes from the January 6, 2010, January 20, 2010 and April 14, 2010 meetings and to provide certified confirmation of compliance to the Executive Director within five (5) business days of the issuance of the Council’s Interim Order. The Council issued its Interim Order on June 29, 2011. The Custodian provided certified confirmation of compliance with the Council’s Interim Order on July 1, 2011, two (2) business days after the issuance of the Council’s Interim Order.

Because the Custodian disclosed the approved executive session minutes to the Complainant without redactions and provided certified confirmation of compliance to the

6 "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.”

7 Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been made available to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.
Executive Director within five (5) business days of the issuance of said Interim Order, the Custodian has complied with the Council’s June 28, 2011 Interim Order.

Whether the Custodian’s actions rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances?

OPRA states that:

“[a] public official, officer, employee or custodian who knowingly or willfully violates [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, shall be subject to a civil penalty …” N.J.S.A. 47:1A-11.a.

OPRA allows the Council to determine a knowing and willful violation of the law and unreasonable denial of access under the totality of the circumstances. Specifically OPRA states:

“… If the council determines, by a majority vote of its members, that a custodian has knowingly and willfully violated [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, the council may impose the penalties provided for in [OPRA]…” N.J.S.A. 47:1A-7.e.

Certain legal standards must be considered when making the determination of whether the Custodian’s actions rise to the level of a “knowing and willful” violation of OPRA. The following statements must be true for a determination that the Custodian “knowingly and willfully” violated OPRA: the Custodian’s actions must have been much more than negligent conduct (Alston v. City of Camden, 168 N.J. 170, 185 (2001); the Custodian must have had some knowledge that his actions were wrongful (Fielder v. Stonack, 141 N.J. 101, 124 (1995)); the Custodian’s actions must have had a positive element of conscious wrongdoing (Berg v. Reaction Motors Div., 37 N.J. 396, 414 (1962)); the Custodian’s actions must have been forbidden with actual, not imputed, knowledge that the actions were forbidden (Berg); the Custodian’s actions must have been intentional and deliberate, with knowledge of their wrongfulness, and not merely negligent, heedless or unintentional (ECES v. Salmon, 295 N.J.Super. 86, 107 (App. Div. 1996).

Although the Custodian unlawfully denied access to the approved executive session minutes for the January 6, 2010, January 20, 2010 and April 14, 2010 meetings because said minutes were approved at the time of the Complainant’s OPRA request and thus no longer considered advisory, consultative and deliberative (“ACD”) material, the Custodian certified that she provided copies of unredacted executive session minutes in accordance with the Council’s June 28, 2011 Interim Order in a timely manner. Additionally, the evidence of record does not indicate that the Custodian’s violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, it is concluded that the Custodian’s actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.
Whether the Complainant is a “prevailing party” pursuant to N.J.S.A. 47:1A-6 and entitled to reasonable attorney’s fees?

OPRA provides that:

“[a] person who is denied access to a government record by the custodian of the record, at the option of the requestor, may:

- institute a proceeding to challenge the custodian's decision by filing an action in Superior Court…; or
- in lieu of filing an action in Superior Court, file a complaint with the Government Records Council…

A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.” N.J.S.A. 47:1A-6.

In Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), the court held that a complainant is a “prevailing party” if he/she achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian’s conduct. Id. at 432. Additionally, the court held that attorney’s fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. Id.

In Teeters, the complainant appealed from a final decision of the Government Records Council which denied an award for attorney's fees incurred in seeking access to certain public records via two complaints she filed under the Open Public Records Act (OPRA), N.J.S.A. 47:1A-6 and N.J.S.A. 47:1A-7.f., against the Division of Youth and Family Services (“DYFS”). The records sought involved an adoption agency having falsely advertised that it was licensed in New Jersey. DYFS eventually determined that the adoption agency violated the licensing rules and reported the results of its investigation to the complainant. The complainant received the records she requested upon entering into a settlement with DYFS. The court found that the complainant engaged in reasonable efforts to pursue her access rights to the records in question and sought attorney assistance only after her self-filed complaints and personal efforts were unavailing. Id. at 432. With that assistance, she achieved a favorable result that reflected an alteration of position and behavior on DYFS’s part. Id. As a result, the complainant was a prevailing party entitled to an award of a reasonable attorney's fee. Accordingly, the Court remanded the determination of reasonable attorney’s fees to the GRC for adjudication.

Additionally, the New Jersey Supreme Court has ruled on the issue of “prevailing party” attorney’s fees. In Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008), the court discussed the catalyst theory, “which posits that a plaintiff is a ‘prevailing party’ if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant’s conduct.” Mason, supra, at 71, (quoting Buckhannon Board & Care Home v. West Virginia Department of Health & Human Resources, 532 U.S. 598, 131 S. Ct. 1835, 149 L. Ed. 2d 855 (2001)). The court in
Buckhannon stated that the phrase “prevailing party” is a legal term of art that refers to a “party in whose favor a judgment is rendered.” (quoting Black’s Law Dictionary 1145 (7th ed. 1999). The court in Mason, supra, at 76, held that “requestors are entitled to attorney’s fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) ‘a factual causal nexus between plaintiff’s litigation and the relief ultimately achieved’; and (2) ‘that the relief ultimately secured by plaintiffs had a basis in law.’ Singer v. State, 95 N.J. 487, 495, cert denied (1984).”

As the New Jersey Supreme Court noted in Mason, Buckhannon is binding only when counsel fee provisions under federal statutes are at issue. 196 N.J. at 72, citing Teeters, supra, 387 N.J. Super. at 429; see, e.g., Baer v. Klagholz, 346 N.J. Super. 79 (App. Div. 2001) (applying Buckhannon to the federal Individuals with Disabilities Education Act), certif. denied, 174 N.J. 193 (2002). “But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes.” 196 N.J. at 73 (citations omitted).

The Mason Court then examined the catalyst theory within the context of New Jersey law, stating that:

“New Jersey law has long recognized the catalyst theory. In 1984, this Court considered the term "prevailing party" within the meaning of the federal Civil Rights Attorney's Fees Awards Act of 1976, 42 U.S.C.A. § 1988. Singer v. State, 95 N.J. 487, 495, cert. denied, New Jersey v. Singer, 469 U.S. 832, 105 S. Ct. 121, 83 L. Ed. 2d 64 (1984). The Court adopted a two-part test espousing the catalyst theory, consistent with federal law at the time: (1) there must be "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved;" in other words, plaintiff's efforts must be a "necessary and important factor in obtaining the relief," Id. at 494-95, 472 A.2d 138 (internal quotations and citations omitted); and (2) "it must be shown that the relief ultimately secured by plaintiffs had a basis in law," Id. at 495. See also North Bergen Rex Transport v. TLC, 158 N.J. 561, 570-71 (1999) (applying Singer fee-shifting test to commercial contract).

sought in bringing suit”’ (quoting Hensley v. Eckerhart, 461 U.S. 424, 433, 103 S. Ct. 1933, 1938, 76 L. Ed. 2d 40, 50 (1983))). The panel noted that the "form of the judgment is not entitled to conclusive weight"; rather, courts must look to whether a plaintiff's lawsuit acted as a catalyst that prompted defendant to take action and correct an unlawful practice. Warrington, supra, 328 N.J. Super. at 421. A settlement that confers the relief sought may still entitle plaintiff to attorney's fees in fee-shifting matters. Id. at 422.

This Court affirmed the catalyst theory again in 2001 when it applied the test to an attorney misconduct matter. Packard-Bamberger, supra, 167 N.J. at 444. In an OPRA matter several years later, New Jerseyans for a Death Penalty Moratorium v. New Jersey Department of Corrections, 185 N.J. 137, 143-44 (2005)(NJDPM), this Court directed the Department of Corrections to disclose records beyond those it had produced voluntarily. In ordering attorney's fees, the Court acknowledged the rationale underlying various fee-shifting statutes: to insure that plaintiffs are able to find lawyers to represent them; to attract competent counsel to seek redress of statutory rights; and to "even the fight" when citizens challenge a public entity. Id. at 153.

After Buckhannon, and after the trial court's decision in this case, the Appellate Division decided Teeters. The plaintiff in Teeters requested records from the Division of Youth and Family Services (DYFS), which DYFS declined to release. 387 N.J. Super. at 424. After the GRC preliminarily found in plaintiff's favor, the parties reached a settlement agreement leaving open whether plaintiff was a "prevailing party" under OPRA. Id. at 426-27.

The Appellate Division declined to follow Buckhannon and held that plaintiff was a "prevailing party" entitled to reasonable attorney's fees; in line with the catalyst theory, plaintiff’s complaint brought about an alteration in DYFS's position, and she received a favorable result through the settlement reached. Id. at 431-34. In rejecting Buckhannon, the panel noted that "New Jersey statutes have a different tone and flavor" than federal fee-shifting laws. Id. at 430. "Both the language of our statutes and the terms of court decisions in this State dealing with the issue of counsel fee entitlements support a more indulgent view of petitioner's claim for an attorney's fee award than was allowed by the majority in Buckhannon . . . ." Id. at 431, 904 A.2d 747. As support for this proposition, the panel surveyed OPRA, Packard-Bamberger, Warrington, and other cases.

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." N.J.S.A. 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed $ 500.00." N.J.S.A. 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2)
eliminate the $500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.” Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 73-76 (2008).

The court in Mason, supra, at 76, held that “requestors are entitled to attorney’s fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) ‘a factual causal nexus between plaintiff’s litigation and the relief ultimately achieved’; and (2) ‘that the relief ultimately secured by plaintiffs had a basis in law.’ Singer v. State, 95 N.J. 487, 495, cert denied (1984).”

In the instant complaint, the Complainant filed a Denial of Access Complaint on July 22, 2010 alleging that the Custodian unlawfully denied the Complainant’s OPRA request for approved executive session minutes because said executive session minutes were approved for content but not for release. After the filing of this Denial of Access Complaint and the issuance of the Council’s June 28, 2011 Interim Order, the Council ordered the Custodian to release the approved executive session minutes with appropriate redactions within five (5) business days from receipt of the Interim Order. As previously stated, the Custodian complied with the Council’s Interim Order by providing certified confirmation to the GRC on July 1, 2011 that the Custodian provided the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes to the Complainant. Therefore, pursuant to Teeters, supra, the Complainant has achieved “the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian’s conduct.” Id. at 432. Specifically, the Custodian provided the Complainant copies of the requested January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes without redactions pursuant to the Council’s June 28, 2011 Interim Order. Additionally, pursuant to Mason, supra, a factual causal nexus exists between the Complainant’s filing of a Denial of Access Complaint and the relief ultimately achieved. Further, the relief ultimately achieved had a basis in law because the Custodian unlawfully denied access to the requested approved executive session minutes from January through April 2010. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney’s fee pursuant to N.J.S.A. 47:1A-6, Teeters, supra, and Mason, supra. Thus, this complaint should be referred to the Office of Administrative Law for the determination of reasonable prevailing party attorney’s fees.

The significance of awarding fees to “requestors” and not “plaintiffs” is less clear because OPRA’s fee-shifting provision refers both to individuals filing suit in Superior Court and those choosing the GRC’s more information mediation route; the phrase “requestors” may simply have been used to encompass both groups. Likewise, one cannot obtain an “order” from the GRC, so the absence of that language in OPRA is not necessarily revealing.
Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

1. Because the Custodian disclosed the approved executive session minutes to the Complainant without redactions and provided certified confirmation of compliance to the Executive Director within five (5) business days of the issuance of said Interim Order, the Custodian has complied with the Council’s June 28, 2011 Interim Order.

2. Although the Custodian unlawfully denied access to the approved executive session minutes for the January 6, 2010, January 20, 2010 and April 14, 2010 meetings because said minutes were approved at the time of the Complainant’s OPRA request and thus no longer considered advisory, consultative and deliberative material, the Custodian certified that she provided copies of unredacted executive session minutes in accordance with the Council’s June 28, 2011 Interim Order in a timely manner. Additionally, the evidence of record does not indicate that the Custodian’s violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, it is concluded that the Custodian’s actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

3. Pursuant to Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), the Complainant has achieved “the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian’s conduct.” Id. at 432. Specifically, the Custodian provided the Complainant copies of the requested January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes without redactions pursuant to the Council’s June 28, 2011 Interim Order. Additionally, pursuant to Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008), a factual causal nexus exists between the Complainant’s filing of a Denial of Access Complaint and the relief ultimately achieved. Further, the relief ultimately achieved had a basis in law because the Custodian unlawfully denied access to the requested approved executive session minutes from January through April 2010. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney’s fee pursuant to N.J.S.A. 47:1A-6, Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006), and Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008). Thus, this complaint should be referred to the Office of Administrative Law for the determination of reasonable prevailing party attorney’s fees.

Prepared By: Harlynne A. Lack, Esq.
Case Manager

Approved By: Catherine Starghill, Esq.
Executive Director
August 23, 2011
INTERIM ORDER

June 28, 2011 Government Records Council Meeting

Jesse Wolosky  
Complainant  

v.  

Township of Jefferson (Morris)  
Custodian of Record  

Complaint No. 2010-163

At the June 28, 2011 public meeting, the Government Records Council ("Council") considered the June 21, 2011 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

1. Because the governing body had approved the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes at the time of the Complainant’s OPRA request, said minutes no longer constituted advisory, consultative or deliberative (ACD) material at the time of the Complainant’s request and were therefore disclosable with appropriate redactions for discussions exempt from disclosure under the Open Public Meetings Act pursuant to N.J.S.A. 47:1A-9.a. and Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009). Accordingly, the Custodian has failed to bear her burden of proving a lawful denial of access to the records responsive to the Complainant’s OPRA request pursuant to N.J.S.A. 47:1A-6. The Custodian must therefore release the requested records to the Complainant.

2. The Custodian shall comply with item No. 1 above within five (5) business days from receipt of the Council’s Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously provide certified confirmation of compliance, in accordance with N.J. Court Rule 1:4-41, to the Executive Director.2

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1 “I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.”

2 Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been made available to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.
3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian’s compliance with the Council’s Interim Order.

4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian’s compliance with the Council’s Interim Order.

Interim Order Rendered by the
Government Records Council
On The 28th Day of June, 2011

Robin Berg Tabakin, Chair
Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Denise Parkinson Vetti, Secretary
Government Records Council

Decision Distribution Date: June 29, 2011
Findings and Recommendations of the Executive Director
June 28, 2011 Council Meeting

Jesse Wolosky
Complainant

v.

Township of Jefferson (Morris)
Custodian of Records

Records Relevant to Complaint: Approved minutes of closed or executive sessions held by the governing body during January, February, March and April 2010, in electronic format.

Request Made: June 29, 2010
Response Made: July 7, 2010
Custodian: Lori Harvin
GRC Complaint Filed: July 22, 2010

Background

June 29, 2010
Complainant’s Open Public Records Act (“OPRA”) request. The Complainant requests the records relevant to this complaint listed above on an official OPRA request form.

July 7, 2010
Custodian’s response to the OPRA request. The Custodian responds in writing to the Complainant’s OPRA request on the fifth (5th) business day following receipt of such request. The Custodian states that the Town Council held executive sessions on January 6, 2010, January 20, 2010 and April 14, 2010. The Custodian also states that the records responsive have been approved as written by the Town Council, but they have not yet been approved for release, therefore she cannot release the records at this time. The Custodian further states that whether the records responsive should be released will be considered by the Town Council at the next council meeting on July 14, 2010. Lastly, the Custodian states that if these responsive records are approved for release, then these records can be fully disclosed and released to the Complainant.
July 12, 2010

E-mail from the Complainant to the Custodian. The Complainant inquires if the records responsive have been approved by the Town Council but not yet released to the public.

July 12, 2010

E-mail from the Custodian to the Complainant. The Custodian states the records responsive have been approved by the Town Council for content but not for release, but are expected to be approved for release on July 14, 2010.

July 22, 2010

Denial of Access Complaint filed with the Government Records Council ("GRC") with the following attachments:

- Complainant’s OPRA request dated June 29, 2010;
- E-mail from the Custodian to the Complainant dated July 7, 2010;
- E-mail from the Complainant to the Custodian dated July 12, 2010;
- E-mail from the Custodian to the Complainant dated July 12, 2010.

Complainant’s Counsel states that the Complainant filed an OPRA request using the Township’s official OPRA request form on June 29, 2010. Complainant’s Counsel states that the records relevant to this complaint are approved minutes of executive sessions held by the governing body during January, February, March and April 2010, in electronic format.

Counsel also states that the Custodian responded to the Complainant’s OPRA request on July 7, 2010, stating that the Town Council has held executive sessions on January 6, 2010, January 20, 2010 and April 14, 2010 and that these minutes have been approved for content by the Town Council but have not been approved for release to the public. Counsel further states that the Complainant responded to the Custodian’s e-mail on July 12, 2010 confirming that the records responsive have been approved by the Town Council but have not yet been released to the public. In addition, Counsel states that the Custodian responded to the Complainant’s e-mail stating the records responsive have been approved by the Town Council as executive session minutes for content but not for release and these responsive records are expected to be approved for release on July 14, 2010.

Counsel states that executive session minutes are public records within the meaning of OPRA. Counsel also states that executive session minutes that have not been approved are exempt from OPRA as advisory, consultative and deliberative (“ACD”) pursuant to Parave-Fogg v. Lower Allowways Creek Township GRC Complaint No. 2006-51 (August 2006). Furthermore, Counsel states that once these executive session minutes are approved, these minutes are no longer considered ACD and are therefore disclosable pursuant to N.J.S.A. 47:1A-1.1 and Wolosky v. Vernon Township Board of Education, GRC Complaint 2009-57 (December 2009).

Counsel argues that the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes were approved as stated in the Custodian’s e-mail of July 7,
2010. Counsel further argues that once these minutes are approved they become public record and therefore disclosable. Counsel also argues that due to the fact that these responsive records are approved and are not being released for reasons unknown to the Complainant, the Custodian or Town Council is creating an additional barrier to access which the Township or Custodian is not permitted to create. See Dittrich v. City of Hoboken, GRC Complaint No. 2006-145 (May 2007). Counsel further states that Custodian stated in her e-mail dated July 7, 2010 that the responsive records can be fully disclosed and released to the Complainant if they are authorized for release (emphasis added). Counsel asserts that the statement “if they are authorized for release” suggests that the Town Council is the “ultimate gatekeeper” of the matters of public record and can release these responsive records whenever they “feel” like it. Counsel argues that this leaves open the possibility that this information may never be released to the public. Counsel states that the Township has turned itself into a mini-court that has jurisdiction to review and grant or deny OPRA requests. Counsel argues that no public agency has the power to do this.

Lastly, Counsel requests the GRC to find: 1) the Custodian violated OPRA and denied access by not disclosing the records responsive that had been previously been approved; and 2) the Complainant is a prevailing party and order an award of reasonable party attorney’s fees pursuant to N.J.S.A. 47:1A-6.

The Complainant does not agree to mediate this complaint.

July 23, 2010
Request for the Statement of Information (“SOI”) sent to the Custodian.

July 28, 2010
Custodian’s SOI with the following attachments:

- Complainant’s OPRA request dated June 29, 2010;
- E-mail from the Custodian to the Complainant dated July 7, 2010;
- E-mail from the Complainant to the Custodian dated July 12, 2010;
- E-mail from the Custodian to the Complainant dated July 12, 2010.

The Custodian certifies that the executive session minutes were located in the locked file cabinet where they are filed chronologically. The Custodian also certifies that the executive session minutes are permanent records and cannot be destroyed in accordance with the Records Destruction Schedule established and approved by New Jersey Department of State, Division of Archives and Records Management (“DARM”).

The Custodian certifies that the Town Council reviews executive session minutes for accuracy only at the following council meeting. The Custodian also certifies that this is referred to on the agenda as “closed session minutes for approval only, not for release.” The Custodian further certifies that these minutes were not disclosed to the Complainant because at the time of the Complainant’s OPRA request the subject matter was not resolved. In addition, the Custodian certifies that the Complainant was advised that the executive session minutes were not available for release and offered to redact the executive session minutes responsive to the Complainant’s OPRA request. The
Custodian also certifies that she did not close out the OPRA request as fulfilled because she was waiting to hear from the Complainant to see if he wanted copies of the executive session minutes.

The Custodian also provided the following Document Index:

<table>
<thead>
<tr>
<th>Date</th>
<th>Minutes Description</th>
<th>Retention Details</th>
<th>Disposition Details</th>
<th>Explanation Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 6, 2010</td>
<td>Executive Session Minutes, three (3) pages</td>
<td>Permanent retention; Must be archived/destuction not allowed</td>
<td>Per Exhibit 8, Item 2 Records Manager offered redacted records. No response received.</td>
<td>Negotiations: Susquehanna-Roseland PSE&amp;G Project</td>
</tr>
<tr>
<td>January 20, 2010</td>
<td>Executive Session Minutes, two (2) pages</td>
<td>Permanent retention; Must be archived/destuction not allowed</td>
<td>Per Exhibit 8, Item 2 Records Manager offered redacted records. No response received.</td>
<td>Negotiations: PBA Potential Litigation: Water’s Edge Sewer; DEP violations</td>
</tr>
<tr>
<td>April 14, 2010</td>
<td>Executive Session Minutes, two (2) pages</td>
<td>Permanent retention; Must be archived/destuction not allowed</td>
<td>Per Exhibit 8, Item 2 Records Manager offered redacted records. No response received.</td>
<td>Possible Litigation: ABC Hearing, One Mile Ordinance</td>
</tr>
</tbody>
</table>
Telephone call from the GRC to the Custodian. The GRC states that the original Custodian claimed in her SOI that she offered redacted executive session minutes to the Complainant in her e-mail to the Complainant on July 7, 2010. However, the GRC states that it could not find any evidence of this in the file.

April 19, 2011
Telephone call from the Custodian to the GRC. The Custodian states she found the e-mail to the Complainant dated July 7, 2010, however, there was no record located in the file which states the original Custodian offered the Complainant redacted executive session minutes.

Analysis

Whether the Custodian unlawfully denied access to the requested records?

OPRA provides that:

“…government records shall be readily accessible for inspection, copying, or examination by the citizens of this State, with certain exceptions…” (Emphasis added.) N.J.S.A. 47:1A-1.

Additionally, OPRA defines a government record as:

“… any paper, written or printed book, document, drawing, map, plan, photograph, microfilm, data processed or image processed document, information stored or maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has been made, maintained or kept on file … or that has been received in the course of his or its official business …” (Emphasis added.) N.J.S.A. 47:1A-1.1.

OPRA places the onus on the Custodian to prove that a denial of access is lawful. Specifically, OPRA states:

“…[t]he public agency shall have the burden of proving that the denial of access is authorized by law…” N.J.S.A. 47:1A-6.

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. N.J.S.A. 47:1A-1. A custodian must release all records responsive to an OPRA request “with certain exceptions.” N.J.S.A. 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to N.J.S.A. 47:1A-6.

In the instant complaint, the Custodian certified in the SOI that the she denied access to records responsive to the Complainant’s OPRA request because the executive

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6 The Custodian at the time of the telephone to the GRC is the current Custodian, Lori Harvin. The original Custodian retired effective July 30, 2010 and the current Custodian was appointed on August 11, 2010. Jesse Wolosky v. Township of Jefferson (Morris), 2010-163 – Findings and Recommendations of the Executive Director
session minutes have been approved for content, but not for release to the public. The evidence of record also indicates that the Custodian also certified that the executive session minutes for meetings dated January 6, 2010, January 20, 2010 and April 14, 2010 have not been approved for release to the public because they contain on-going contract negotiations and potential litigation information.

As a general matter, draft documents are advisory, consultative and deliberative communications. Although OPRA broadly defines a “government record” as records either “made, maintained or kept on file in the course of [an agency’s] official business,” or “received” by an agency in the course of its official business, N.J.S.A. 47:1A-1.l., the statute also excludes from this definition a variety of documents and information. Ibid. See Bergen County Improvement Auth. v. North Jersey Media, 370 N.J. Super. 504, 516 (App. Div. 2004). The statute expressly provides that “inter-agency or intra-agency advisory, consultative, or deliberative material” is not included within the definition of a government record. N.J.S.A. 47:1A-1.1.


The New Jersey Appellate Division also has reached this conclusion with regard to draft documents. In the unreported section of In re Readoption With Amendments of Death Penalty Regulations, 182 N.J. 149 (App. Div. 2004), the court reviewed an OPRA request to the Department of Corrections (“DOC”) for draft regulations and draft statutory revisions. The court stated that these drafts were “all clearly pre-decisional and reflective of the deliberative process.” Id. at 18. It further held:

“[t]he trial judge ruled that while appellant had not overcome the presumption of non-disclosure as to the entire draft, it was nevertheless entitled to those portions which were eventually adopted. Appellant appeals from the portions withheld and DOC appeals from the portions required to be disclosed. We think it plain that all these drafts, in their entirety, are reflective of the deliberative process. On the other hand, appellant certainly has full access to all regulations and statutory revisions ultimately adopted. We see, therefore, no basis justifying a conclusion that the presumption of nondisclosure has been overcome. Ibid. (Emphasis added.)”

Additionally, the GRC has previously ruled on the issue of whether draft meeting minutes are exempt from disclosure pursuant to OPRA. In Parave-Fogg v. Lower Alloways Creek Township, GRC Complaint No. 2006-51 (August 2006), the Council held that “…the Custodian has not unlawfully denied access to the requested meeting
minutes as the Custodian certifies that at the time of the request said minutes had not been approved by the governing body and as such, they constitute inter-agency, intra agency advisory, consultative, or deliberative material and are exempt from disclosure pursuant to N.J.S.A. 47:1A-1.1.”

Thus, in accordance with the foregoing case law and the prior GRC decision in Parave-Fogg, supra, all draft minutes of a meeting held by a public body, are entitled to the protection of the deliberative process privilege. Draft minutes are pre-decisional. In addition, they reflect the deliberative process in that they are prepared as part of the public body’s decision making concerning the specific language and information that should be contained in the minutes to be adopted by that public body, pursuant to its obligation, under the Open Public Meetings Act, to “keep reasonably comprehensible minutes.” N.J.S.A. 10:4-14.

In the instant complaint, the Custodian certified in the SOI that the requested executive session minutes had not been approved by the governing body for disclosure to the public. Furthermore, the Custodian certified that the governing body reviews the executive session minutes for accuracy only at the very next council meeting. The Custodian further certified that the records responsive have been approved for accuracy, but not for release. Therefore, the approved executive session minutes dated January 6, 2010, January 20, 2010 and April 14, 2010 are no longer draft documents.

In Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009), the custodian denied the complainant access to executive session minutes on the basis that the requested minutes were not approved for release to the public. The custodian argued that the sole issue was the complainant’s misconception that the BOE’s approval as to accuracy and content signified that the minutes were for release to the general public. The Council ultimately found that because the BOE had already approved the requested executive session minutes as to accuracy and content, said minutes no longer constituted ACD material pursuant to N.J.S.A. 47:1A-1.1., and were therefore disclosable pursuant to the provisions of OPRA.

Like the custodian in Wolosky, the Custodian in the instant complaint certified that although the requested executive session minutes were approved for content by the Town Council, the Town Council also votes to approve the executive session minutes for release once the need for confidentiality has ended.

However, the Council has previously found that once the governing body of an agency has approved meeting minutes as to accuracy and content (per the requirement of OPMA), said minutes are subject to disclosure under OPRA. Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009). Although properly approved executive session minutes are disclosable, custodians may redact from the minutes those discussions that require confidentiality because the matters discussed therein are unresolved or still pending pursuant to N.J.S.A. 47:1A-5.g.

Therefore, because the governing body had approved the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes at the time of the Complainant’s OPRA request, said minutes no longer constituted advisory, consultative or deliberative
(ACD) material at the time of the Complainant’s request and were therefore disclosable with appropriate redactions for discussions exempt from disclosure under the Open Public Meetings Act pursuant to N.J.S.A. 47:1A-9.a. and Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009). Accordingly, the Custodian has failed to bear her burden of proving a lawful denial of access to the records responsive to the Complainant’s OPRA request pursuant to N.J.S.A. 47:1A-6. The Custodian must therefore release the requested records to the Complainant.

The Council suggests that the Custodian consult the township attorney or some other designated person to determine the resolution of issues discussed in executive session minutes to identify those issues still requiring confidentiality and for which redactions are allowed.

Whether the Custodian’s delay in access to the requested records rises to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances?

The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian’s compliance with the Council’s Interim Order.

Whether the Complainant is a “prevailing party” pursuant to N.J.S.A. 47:1A-6 and entitled to reasonable attorney’s fees?

The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian’s compliance with the Council’s Interim Order.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

1. Because the governing body had approved the January 6, 2010, January 20, 2010 and April 14, 2010 executive session minutes at the time of the Complainant’s OPRA request, said minutes no longer constituted advisory, consultative or deliberative (ACD) material at the time of the Complainant’s request and were therefore disclosable with appropriate redactions for discussions exempt from disclosure under the Open Public Meetings Act pursuant to N.J.S.A. 47:1A-9.a. and Wolosky v. Vernon Township Board of Education, GRC Complainant No. 2009-57 (December 2009). Accordingly, the Custodian has failed to bear her burden of proving a lawful denial of access to the records responsive to the Complainant’s OPRA request pursuant to N.J.S.A. 47:1A-6. The Custodian must therefore release the requested records to the Complainant.

2. The Custodian shall comply with item No. 1 above within five (5) business days from receipt of the Council’s Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously provide certified
confirmation of compliance, in accordance with N.J. Court Rule 1:4-4\(^7\), to the Executive Director.\(^8\)

3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian’s compliance with the Council’s Interim Order.

4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian’s compliance with the Council’s Interim Order.

Prepared By: Harlynne A. Lack, Esq.
Case Manager

Approved By: Catherine Starghill, Esq.
Executive Director

June 21, 2011

\(^7\) “I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.”

\(^8\) Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been made available to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.